

Area	Category	Assurance	Days	Description	Days	Notes	Final Status	Opinion	Remarks				
Education and Lifelong Learning	Medium	Assurance	245	School 4 - Mary Immaculate	20	Effective compliance and control							
				School 5 - Marlborough Primary	20	Undertake CRSA exercise with all schools.							
				School 6 - St. Cuthbert's									
				Schools CRSA									
				Schools CRSA - Individual School Audits									
				CRSA - School 1									
				CRSA - School 2									
				CRSA - School 3									
				CRSA - School 4									
				Catering in Opted out schools	15	Delivery of objectives, with effective compliance and control							
				FOLLOW UP - Pontprennau Primary	7	Follow up Audit							
				FOLLOW UP - St. Peters R.C. Primary	8	Follow up Audit							
				FOLLOW UP - Income Procedures in School Kitchens cf	0	Follow up Audit							
				School Admissions	15	Effective and well governed arrangements for school admissions							
				Counter-fraud arrangements - Willows High School (carried forward from 2019/20)	0	Counter-fraud assurance in school governance, transactional, procurement and recruitment activities							
				Counter-fraud arrangements - Kitchener Primary School (carried forward from 2019/20)	0	Effective compliance and control							
				Income collection in schools - Cardiff High	10	Effective and controlled arrangements to receive, record and monitor income							
				Cashless catering in secondary schools	0	Effective ICT Governance mechanisms for compliance, business continuity and control							
				ICT governance for schools - Ysgol Plasnewydd (carried forward from 2019/20)	0	Full audits of systems of governance and internal control							
				Pentyrch Primary School (carried forward from 2019/20)	0	Follow up Audit							
Music Service - Follow up (carried forward from 2019/20)	10	Operation of appropriate arrangements to record, monitor and recover sundry debts.											
Governance and Legal Services	High	Assurance	60	Income and Debtors	15	Performance management arrangements are effective, and operated consistently.							
				Performance Management	15	Controlled, effective and compliant information management practices.							
				Information Management	10	Relevant compliance and due diligence checks are undertaken							
				Pre-Contract Assurance	10	Commissioning and Procurement compliance and control							
				Commissioning and Procurement	10	Directorate health and safety compliance and risk management.							
				Health and Safety (carried forward from 2019/20)	0	Commissioning and Procurement compliance and control							
				Commissioning and Procurement	10	Operation of appropriate arrangements to record, monitor and recover sundry debts.							
				Income and Debtors	15	Performance management arrangements are effective, and operated consistently.							
				Performance Management	15	Controlled, effective and compliant information management practices.							
				Information Management	10	Relevant compliance and due diligence checks are undertaken							
Resources	High	Assurance	80	Pre-Contract Assurance	10	Directorate health and safety compliance and risk management.							
				Resources Health and Safety	10	Effective Directorate recording, monitoring, management and control of physical assets							
				Asset Management (carried forward from 2019/20)	0	Delivery of service objectives with effective compliance and control.							
				Alarm Receiving Centre	10	Commissioning and Procurement compliance and control							
				Resources (CTS)	10	Operation of appropriate arrangements to record, monitor and recover sundry debts.							
				Commissioning and Procurement	15	Performance management arrangements are effective, and operated consistently.							
				Information Management	15	Controlled, effective and compliant information management practices.							
				Pre-Contract Assurance	10	Relevant compliance and due diligence checks are undertaken							
				Resources Health and Safety	10	Directorate health and safety compliance and risk management.							
				Asset Management (carried forward from 2019/20)	0	Effective Directorate recording, monitoring, management and control of physical assets							
Social Services	High	Assurance	205	Health and Safety (carried forward from 2019/20)	0	Effective control, management and monitoring of Direct Payments							
				Direct Payments (carried forward from 2019/20)	0	Effective Directorate recording, monitoring, management and control of physical assets							
				Asset Management (carried forward from 2019/20)	0	Follow up Audit							
				Safeguarding - Follow up (carried forward from 2019/20)	0	Delivery of service objective with effective compliance and control							
				Early help scheme (impact of FPOC)	15	Delivery of service objective with effective compliance and control							
				Social Services - CHAD	15	Delivery of service objective with effective compliance and control							
				Mental Health Day Services	15	Delivery of service objective with effective compliance and control							
				Emergency Duty Team	15	Delivery of service objective with effective compliance and control							
				Payments to Care Leavers	20	Delivery of service objective with effective compliance and control							
				Children's Placements (including out of county)	20	Delivery of service objective with effective compliance and control							
	Medium	Assurance	205	Residential Care	15	Delivery of service objective with effective compliance and control							
				Workforce Development - TBC	15	Delivery of service objective with effective compliance and control							
				Learning Disabilities (carried forward from 2019/20)	0	Delivery of service objective with effective compliance and control							
				Foster Carers (carried forward from 2019/20)	0	Delivery of service objective with effective compliance and control							
				Domiciliary Care (carried forward from 2019/20)	0								
				Total		1,135		1,135					
				External		Original audit plan	Assignment	Days					
				External clients	High	Assurance	20	City Deal 2019/20	0	Scope as per rolling SLA	y		
								City Deal 2020/21	5	Scope as per rolling SLA	y		
								Norwegian Church Preservation Trust 2019/20	2				
Education Improvement Grant 2019/20	5												
Norwegian Church Preservation Trust - 2018/19 (carried forward from 2019/20)	0												
Certification	TBC	10	Joint Committees		6								
			Cardiff Further Education Trust Fund 2019/20		2								
			Rumouless Cities Grant		10								
			General Audit (provision)		0								
			Total			30		30					
Contingencies		Original audit plan	Assignment	Days									
General Audit		30	General Audit (provision for carried forward audits)	30									
Total		30		30									
Management		Original audit plan	Assignment	Days									
Corporate work - Audit Committee, WAO etc.	Medium	Management	50	Corporate work - Audit Committee, WAO etc.	50								
Assurance mapping		10	Assurance mapping	10									
CRSA development		0	CRSA development	0									
Process development		20	Process development	20									
Work for Audit Manager		30	Work for Audit Manager	30									
Planning, monitoring & reporting		30	Planning, monitoring & reporting	30									
Review of financial rules etc.		20	Review of financial rules etc.	20									
General advice and guidance		20	General advice and guidance	20									
Total			180		180								
Total chargeable days			1,912		1,912								